



# PATENT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Atty. Docket No. A01071  
MMB/yv

In re application of:

Jane Elizabeth Weier, et al.

Group Art: 1714

Serial No.: 09/944,290

Confirmation No.: 1885

Examiner: Tae H. Yoon

Filed: August 31, 2001

**For: HIGH SOLIDS POLYMERIC ADDITIVE  
SYSTEMS: COMPOSITIONS,  
PROCESSES, AND PRODUCTS  
THEREOF**

Mail Stop **AF**  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**TERMINAL DISCLAIMER TO OBVIATE DOUBLE  
PATENTING REJECTION (37 C.F.R. §1.321)**

Sir:

I, Marcella M. Bodner, am authorized to sign on behalf of Rohm and Haas Company, a corporation organized under the laws of the State of Delaware, the assignee of the entire interest in the United States Patent Application of Serial No. 09/944,290, filed August 31, 2001. Rohm and Haas Company hereby disclaims all that portion of the term of any patent to be issued on Application No. 09/94,290 subsequent to the expiration of U.S. Patent No. 6,639,012, and hereby agrees that any patent to be issued on Application No. 09/944,290 shall be enforceable only for and during such period that the legal title to said patent shall be the same as the legal title to U.S. Patent No. 6,639,012, this agreement to run with any patent granted on Application No.

09/944,290 and to be binding upon the grantee of said patent and their successors or assigns.

In making the above disclaimer, Rohm and Haas Company does not disclaim the terminal part of any patent granted on Application No. 09/944,290 prior to the expiration date of the full statutory term of U.S. Patent No. 6,639,012 in the event that U.S. Patent No. 6,639,012 later: expires for failure to pay a maintenance fee, is held unenforceable, is found invalid, is statutorily disclaimed in whole or terminally disclaimed under 37 C.F.R. § 1.321, has all claims cancelled by a reexamination certificate, or is otherwise terminated prior to expiration of its statutory term as presently shortened by any terminal disclaimer, except for the separation of legal title stated above.

Application No. 09/944,290 and U.S. Patent No. 6,639,012 are assigned to a common entity, the Rohm and Haas Company. The assignment of U.S. Patent No. 6,639,012 (issued from Application No. 09/981,348) is found at reel/frame 014415/0314, date of recordation: August 22, 2003. The assignment of the present Application (Serial No. 09/944,290) has not yet been recorded at the United States Patent and Trademark Office, however, as copy of the original Assignment is attached hereto.

As an authorized representative of the assignee empowered to sign on behalf of the assignee, I have reviewed the evidentiary documents referred to above and certify that, to the best of my knowledge and belief, title is ours as assignees who seek to take this action of terminal disclaimer. I hereby declare and say that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of this application or any patent issued thereon.

Please charge the requisite fee of \$110.00 (37 C.F.R. § 1.20(d)) to **Deposit Account No. 18-1850**. A duplicate of this disclaimer is attached. Please charge any additional fees due, or credit any overpayment, to **Deposit Account No. 18-1850**.

Respectfully submitted,

ROHM AND HAAS COMPANY

A handwritten signature in black ink, appearing to read "Marcella M. Bodner", is written over a horizontal line.

Marcella M. Bodner  
Registration No. 46,561  
(215) 592-3025

Rohm and Haas Company  
100 Independence Mall West  
Philadelphia, PA 19106-2399  
December 6, 2004

ASSIGNMENT

WHEREAS, (hereafter "Assignor(s)"), Jane Elizabeth Weier, 110 Featherbed Lane, Hopewell, NJ 08525; Chuen-Shyong Chou, 1208 Kingsley Court, Ambler, PA 19002; Morris Christopher Wills, 8398 Langdon St., Phila., PA 19152; Jiun-Chen Wu, 3 Oakwood Way, West Windsor, NJ 08550-3526; Fanwen Zeng, 103 Royal Oaks Court, Monmouth Junction, NJ 08852; Eugene Patrick Dougherty, 200 Chilton Road, Langhorne, PA 19047 invented certain new and useful improvements in High Solids Polymeric Additive Systems: Compositions, Processes, and Products Thereof, (hereafter "INVENTION") for which on the date below Assignor executed an application for Letters Patent of the United States of America;

WHEREAS at the time the INVENTION was made, Assignor was under an obligation to assign all right, title and interest in Assignor's INVENTION to ROHM AND HAAS COMPANY, a Delaware corporation having its principal place of business at 100 Independence Mall West, Philadelphia, Pennsylvania, 19106-2399, which wants to formalize the assignment and its ownership of the entire interest in the INVENTION and in any and all applications for patent thereon and in any and all Letters Patent which may be granted thereon;

NOW, THEREFORE, for valuable consideration received by Assignor, receipt and sufficiency of which is hereby acknowledged, Assignor has assigned and by this Assignment does assign to ROHM AND HAAS COMPANY, for the United States of America and for all foreign countries, the entire right, title, and interest in and to the INVENTION including the right to apply for patents, and to claim International Convention priority, in all such foreign countries; Assignor further agrees without further consideration to testify in any proceedings, to execute all papers, execute all divisional, continuing, reissue and foreign applications, and make all rightful oaths and declaration relating to the INVENTION, requested by the ROHM AND HAAS COMPANY; and Assignor does hereby authorize and request the Commissioner of Patents and Trademarks of the United States of America and the corresponding official in any foreign country to issue any Letters Patent which may be granted in the United States or foreign country, respectively, to ROHM AND HAAS COMPANY for its sole use and benefit and for its successors and assigns, to the full end of the term for which said Letters Patent may be granted.

Assignor authorizes and requests insertion herein of the Serial Number 60/230,227 and filing date 9/3/00 of said application when officially known.

IN TESTIMONY WHEREOF, Assignor intending to be legally bound has hereunto affixed its signature(s).

Jan 18 2001  
Date

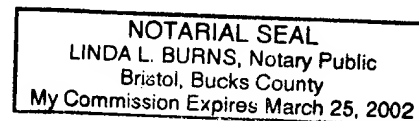
Jane Elizabeth Weier (SEAL)  
Jane Elizabeth Weier

STATE OF PENNSYLVANIA :  
COUNTY OF Bucks : SS.

Jane Elizabeth Weier personally appeared before me and acknowledged to me that the execution of the foregoing instrument is an act of free will and deed of this 18<sup>th</sup> day of January, 2001.

[SEAL]

Linda L. Burns  
Notary Public



IN TESTIMONY WHEREOF, Assignor intending to be legally bound has hereunto affixed its signature(s).

Jan 18, 2001  
Date

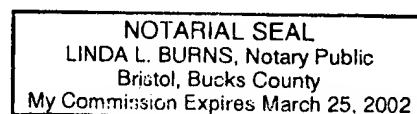
Chuen-Shyong Chou (SEAL)  
Chuen-Shyong Chou

STATE OF PENNSYLVANIA :  
COUNTY OF Bucks : SS.

Chuen-Shyong Chou personally appeared before me and acknowledged to me that the execution of the foregoing instrument is an act of free will and deed of this 18<sup>th</sup> day of Jan, 2001.

[SEAL]

Linda L. Burns  
Notary Public



IN TESTIMONY WHEREOF, Assignor intending to be legally bound has hereunto affixed its signature(s).

1/18/01  
Date

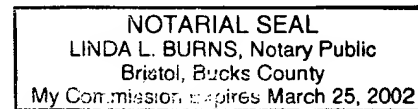
Morris Christopher Wills (SEAL)  
Morris Christopher Wills

STATE OF PENNSYLVANIA :  
COUNTY OF Bucks : SS.

Morris Christopher Wills appeared before me and acknowledged to me that the execution of the foregoing instrument is an act of free will and deed of this 18<sup>th</sup> day of January, 2001.

[SEAL]

Linda L. Burns  
Notary Public



IN TESTIMONY WHEREOF, Assignor intending to be legally bound has hereunto affixed its signature(s).

Jan 18, 2001  
Date

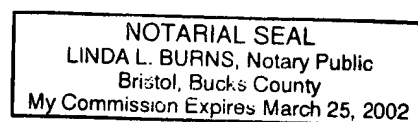
Jiun-Chen Wu (SEAL)  
Jiun-Chen Wu

STATE OF PENNSYLVANIA :  
COUNTY OF Bucks : SS.

Jiun-Chen Wu appeared before me and acknowledged to me that the execution of the foregoing instrument is an act of free will and deed of this 18<sup>th</sup> day of Jan, 2001.

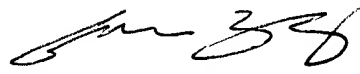
[SEAL]

Linda L. Burns  
Notary Public



IN TESTIMONY WHEREOF, Assignor intending to be legally bound has hereunto affixed its signature(s).

1/23/01  
Date

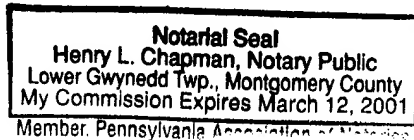
 (SEAL)  
Fanwen Zeng

STATE OF PENNSYLVANIA :  
COUNTY OF MONTGOMERY : SS.

Fanwen Zeng appeared before me and acknowledged to me that the execution of the foregoing instrument is an act of free will and deed of this 23 day of JANUARY, 2000.  
2001

[SEAL]

  
Notary Public



IN TESTIMONY WHEREOF, Assignor intending to be legally bound has hereunto affixed its signature(s).

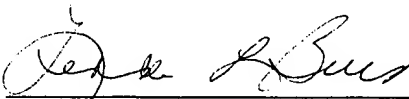
1/18/01  
Date

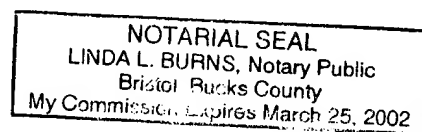
 (SEAL)  
Eugene Patrick Dougherty

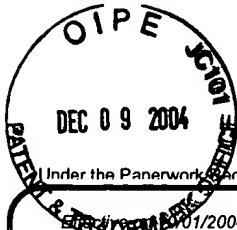
STATE OF PENNSYLVANIA :  
COUNTY OF Bucks : SS.

Eugene Patrick Dougherty appeared before me and acknowledged to me that the execution of the foregoing instrument is an act of free will and deed of this 18 day of Jan, 2000.

[SEAL]

  
Notary Public





PTO/SB/17 (11-04)

Approved for use through 07/31/2006. OMB 0651-0032  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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Effective 01/01/2004. Patent fees are subject to annual revision.

**FEE TRANSMITTAL**  
**For FY 2005**☐ Applicant claims small entity status. See 37 CFR 1.27**TOTAL AMOUNT OF PAYMENT** (\$)**110.00****Complete if Known**

Application Number	09/944,290
Filing Date	08/31/2001
First Named Inventor	Jane Elizabeth Weier, et al
Examiner Name	Tae H. Yoon
Art Unit	1714
Attorney Docket No.	A01071

**METHOD OF PAYMENT** (check all that apply)☐ Check ☐ Credit Card ☐ Money Order☒ Deposit Account ☐ NoneDeposit  
Account  
Number  
  
Deposit  
Account  
Name

18-1850

ROHM AND HAAS COMPANY

The Director is hereby authorized to: (check all that apply)

☒ Charge fee(s) indicated below☐ Charge fee(s) indicated below, except for the filing fee☒ Charge any additional fee(s) or underpayments of fee(s)  
under 37 CFR 1.16 and 1.17☐ Credit any overpayments

to the above-identified deposit account.

☐ Other (please identify): \_\_\_\_\_**WARNING:** Information on this form may become public. Credit card  
information should not be included on this form. Provide credit card  
information and authorization on PTO-2038.**FEE CALCULATION****1. BASIC FILING FEE**

Fee Description	Fee (\$)	Small Entity Fee (\$)	Fee Paid(\$)
Utility Filing Fee	790	395	
Design Filing Fee	350	175	
Plant Filing Fee	550	275	
Reissue Filing Fee	790	395	
Provisional Filing Fee	160	80	

**Subtotal (1) \$****FEE CALCULATION** (continued)**2. EXTRA CLAIM FEES**

Fee Description	Fee (\$)	Small Entity Fee (\$)
Each claim over 20	18	9
Each independent claim over 3	88	44
Multiple dependent claims	300	150
For Reissues, each claim over 20 and more than in the original patent	18	9
For Reissues, each independent claim more than in the original patent	88	44

**Total Claims**      **Extra Claims**      **Fee (\$)**      **Fee Paid (\$)**\_\_\_\_\_ - 20 or HP = \_\_\_\_\_ x \_\_\_\_\_ = \_\_\_\_\_  
HP = highest number of total claims paid for, if greater than 20**Indep. Claims**      **Extra Claims**      **Fee (\$)**      **Fee Paid (\$)**\_\_\_\_\_ - 3 or HP = \_\_\_\_\_ x \_\_\_\_\_ = \_\_\_\_\_  
HP = highest number of independent claims paid for, if greater than 3**Multiple Dependent Claims**      **Fee (\$)**      **Fee Paid (\$)****Subtotal (2) \$****3. OTHER FEES**

Fee Description	Fee (\$)	Small Entity Fee (\$)	Fee Paid(\$)
1-month extension of time	110	55	
2-month extension of time	430	215	
3-month extension of time	980	490	
4-month extension of time	1,530	765	
5-month extension of time	2,080	1,040	
Information disclosure stmt. fee	180	180	
37 CFR 1.17(q) processing fee	50	50	
Non-English specification	130	130	
Notice of Appeal	340	170	
Filing a brief in support of appeal	340	170	
Request for oral hearing	300	150	

Other: **Terminal Disclaimer**      **110.**  
**(C.F.R. §120(d))****Subtotal (3) \$** **110****SUBMITTED BY**

Signature	<i>Marcella M. Bodner</i>	Registration No. (Attorney/Agent)	46,561	Telephone	(215) 592-3025
Name (Print/Type)	Marcella M. Bodner	Date	12/6/2004		

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.